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- **1** Integrating Scientific Cyberinfrastructures to Improve Reproducibility in
- 2 Computational Hydrology: Example for HydroShare and GeoTrust
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18 Highlights:

- 19 Method for packaging and publishing scientific workflows
- 20 Integration between GeoTrust and HydroShare projects
- GeoTrust is used to easily package environmental models as containers
- HydroShare is used to document and share packaged workflows
- An example application is provided for using a MODFLOW-NWT model

24 Abstract

25 The reproducibility of computational environmental models is an important challenge that 26 calls for open and reusable code and data, well-documented workflows, and controlled 27 environments that allow others to verify published findings. This requires an ability to document 28 and share raw datasets, data preprocessing scripts, model inputs, outputs, and the specific model 29 code with all associated dependencies. HydroShare and GeoTrust, two scientific 30 cyberinfrastructures under development, can be used to improve reproducibility in computational 31 hydrology. HydroShare is a web-based system for sharing hydrologic data and models as digital 32 resources including detailed, hydrologic-specific resource metadata. GeoTrust provides tools for 33 scientists to efficiently reproduce and share geoscience applications. This paper outlines a use case 34 example, which focuses on a workflow that uses the MODFLOW model, to demonstrate how the 35 cyberinfrastructures HydroShare and GeoTrust can be integrated in a way that easily and 36 efficiently reproduces computational workflows.

37 Keywords:

38 Computational reproducibility; hydrologic modeling; MODFLOW; metadata

40 **1. Software availability**

- 41 The software created in this research is free and open source. The software information and
- 42 availability are as follows:
- 43 Developers: Bakinam T. Essawy, Daniel Voce, and Wesley Zell
- 44 Programming language: Python, Bash
- 45 GitHub link: <u>https://github.com/uva-hydroinformatics-lab/AWS_MODFLOW</u>.

47 **2. Introduction**

48 The challenge of creating more open and reusable code, data, and formal workflows that allow 49 others to verify published findings is gaining attention in the scientific community (Borgman, 50 2012; David et al., 2016; Gorgolewski and Poldrack, 2016; Meng et al., 2015; Peng, 2011; Qin et 51 al., 2016). Reproducibility is important for both verifying previous results as well as building upon 52 the prior computational research of other scientists. Although we can achieve standard 53 reproducibility for most computational research, there are certain cases in which reproducibility 54 remains difficult to achieve. This challenge is not caused only by technical barriers but also by 55 limited documentation of the research to be replicated and the potentially complex requirements 56 for how the software is packaged, installed, and executed (Piccolo and Frampton, 2016). Recent 57 papers have argued the need and have proposed approaches to improve reproducibility, both within 58 geosciences generally and the hydrologic sciences specifically (David et al., 2016; Essawy et al., 59 2016; Gil et al., 2016; Hutton et al., 2016). Reproducibility of research is said to be achieved if the 60 scientist was able to preserve sufficient computational artifacts in a way that can be replicated in 61 the future (Meng et al., 2015).

62 Here we consider reproducibility to be the ability to repeat in the same exact form and then 63 document and share digital resources previously used to complete an analysis. These digital 64 resources include (1) initial raw, unprocessed datasets; (2) data preprocessing scripts used to clean 65 and organize the data; (3) model inputs; (4) model results; and (5) the specific model code along 66 with all of its dependencies. Figure 1 shows a typical conceptual workflow that needs to be repeated for computational reproducibility. These data, software, and environments are often 67 68 integrated into workflows (as computational experiments) that allow scientists to re-run an analysis 69 from raw initial datasets and obtain the same model results.

70 There are different requirements for reproducibility depending on the nature of the 71 research. For example, laboratory experiments require capturing descriptive information about 72 protocols and methods, leading to empirical reproducibility. Computational reproducibility, on the 73 other hand, requires descriptive information about the software and workflow details of model-74 based research (Todden, 2013). Any workflow that is computationally reproducible must be 75 general and able to address the heterogeneous landscape of tools and approaches used within the 76 target scientific community. In hydrology, scientists use a large variety of computational models, 77 many of which have decades of development effort behind them (Singh et al., 2002). 78 Computational modeling can often require a significant amount of effort and time to prepare model 79 inputs and to calibrate and validate model parameters. Depending on the complexity of the system 80 being modeled and the experience of the modeler, these aspects can make reproducing 81 computational hydrologic experiments particularly challenging.

82 Addressing the challenges for achieving reproducibility in computational workflow has been the topic of many studies. Until now, most approaches have either focused on the logical 83 84 preservation (i.e., sufficient documentation of a workflow and its components to allow for 85 reproduction later on) or physical preservation (i.e., workflow conservation by packaging all of its 86 components allowing identical replication) (Santana-Perez et al., 2017). It is hard to achieve a high 87 level of reproducibility while using one of these approaches in isolation; rather, the integration of 88 both physical and logical preservation is required to achieve a high level of reproducibility. Some 89 efforts have been made to integrate both logical and physical preservation for computational 90 workflows, such as the Topology and Orchestration Specification for Cloud Applications 91 (TOSCA). The TOSCA framework supports documentation for both the top-level structure of the 92 abstract workflow and the execution environment details (logical). TOSCA also provides

packaging functionality for the workflow (physical) (Qasha et al., 2016). In a similar way, our
approach provides both logical and physical preservation. However, the functionality is extended
to allow for automated creation, documentation, publication, and cloud-based execution of
scientific workflow packages.



97

Figure 1 A typical conceptual workflow that needs to be repeated for computational
 reproducibility. Dashed lines indicate processes for model calibration that are not discussed in
 this study.

101 This research presents a solution for achieving a higher level of reproducibility by using 102 GeoTrust's Sciunit-CLI tool and HydroShare. HydroShare (http://www.hydroshare.org) and 103 GeoTrust (http://geotrusthub.org) are two new cyberinfrastructures under active development that 104 aim to improve reproducibility in computational hydrology. The methods described in this paper 105 can be used to assist scientists to more easily repeat, reproduce, and verify a computational 106 experiment (Malik, 2017). This method goes beyond open source and simply shared by allowing 107 portability in different hardware and software environments and reproducible analyses with 108 different datasets. This level of reproducibility is not easily achieved by using HydroShare or 109 GeoTrust in isolation. For example, GeoTrust does not provide a community of users who can 110 verify analyses or the variety of datasets that are required for verification; HydroShare, however,

111 does provide these. Similarly, while HydroShare simplifies the process of sharing code, data, and 112 descriptive metadata, it does not address the challenge of sharing the computational environment 113 required for the workflow and then repeating the computational workflow with different datasets. 114 This paper presents the design and implementation of a workflow that takes advantage of the 115 complementary strengths of the two systems. HydroShare is used to share key digital resources in 116 the workflow, while GeoTrust is used to capture, encapsulate, and make portable model execution. 117 An example application of the approach is presented using MODFLOW-NWT, a version of the 118 United States Geological Survey's groundwater model, MODFLOW (Niswonger et al., 2011).

119 The remainder of the paper is organized as follows. First, additional background on the 120 HydroShare and GeoTrust projects is provided. This background section is meant to orient readers 121 on key aspects of these projects. Next, the methodology section shows the system design and the 122 use case application for the MODFLOW-NWT model. In the results section, the system 123 implementation of the HydroShare and GeoTrust integration approach is presented and 124 demonstrated by using the use case results as an example application. Finally, a discussion and 125 conclusions section summarizes the key aspects of the approach and outlines opportunities for 126 future research to advance on known limitations of the approach.

127 **3. Background**

128 *3.1. HydroShare*

HydroShare is an open source web-based system developed for hydrologic scientists to easily share, collaborate around, and publish all types of scientific data and models including detailed, hydrologic-specific resource metadata (Tarboton et al., 2014a, 2014b). HydroShare has been developed with the support of the United States National Science Foundation (NSF). Following the completion of the original NSF grant, the Consortium of Universities for the 134 Advancement of Hydrologic Sciences Incorporated (CUAHSI) (also funded by the NSF) assumed 135 long-term support for HydroShare's operation and maintenance. In HydroShare, digital content is 136 stored and referred to as a "resource." Each resource is a unit used for management and access 137 control within HydroShare. Every resource has a resource type (Horsburgh et al., 2015). 138 HydroShare assigns a unique identifier for each newly created resource; this identifier is known as 139 the Resource ID. The "generic" resource type supports the Dublin Core metadata standard (Weibel 140 et al., 1998) and more specific resource types expand on this metadata standard for well-defined data types. For example, "Model Operating System" is one of the extended metadata terms for the 141 142 "Model Program" resource type, which is used for sharing a computational model programs in 143 HydroShare (Morsy et al., 2017).

HydroShare provides a Representational State Transfer (REST) Application Program
Interface (API) that allows third-party applications to interact with HydroShare resources.
(https://github.com/hydroshare/hydroshare/wiki/HydroShare-REST-API#design-document).

147 Developers can create web-apps that use HydroShare's REST API to interact with HydroShare 148 resources. Web-app developers can catalogue their apps in HydroShare via the "Web-app" 149 resource type (Swain et al., 2016). When a developer creates a web-app resource in HydroShare, 150 the developer specifies which resource types are relevant to the web-app and the URL that will be 151 called when the web-app is executed from the landing page of the resource that the web-app is 152 acting on. After a developer adds a web-app as a resource in HydroShare, HydroShare users can 153 execute that app through HydroShare's web interface to act on relevant resources that they have 154 access to.

155 Although there are several different resource types supported by HydroShare, two of the main 156 resource types relevant to this paper deal with computational models. HydroShare divides

157 computational models into two separate but linked resource types: a) the model program and b) 158 the model instance. The model program includes the software for executing a specific instance of 159 the model and the model instance are the input files required for executing the model and, 160 optionally, the output files after a model instance has been executed by a model program 161 (Horsburgh et al., 2015; Morsy et al., 2017, 2014). Additionally, a Model Instance Resource type 162 can be linked to a model program resource type using the "ExecutedBy" term, assisting with 163 reproducibility of the model instance (Morsy et al., 2017). Other HydroShare resource types used 164 in this paper include the Composite resource type, which allows uploading metadata files at both 165 file and resource level; the collections resource type, which stores any number of individual 166 resources within HydroShare as a single, aggregate resource; and the web-app resource type, which 167 is the Digital content stored in HydroShare and referred to it as a "resource."

168 *3.2. GeoTrust*

169 The GeoTrust project, also funded by the NSF through their EarthCube program, aims to 170 create cyberinfrastructure that assists scientists to efficiently reproduce and share geoscience 171 applications used in research (Malik et al., 2017). The project has done this primarily by 172 developing the concept of a "sciunit" (https://sciunit.run/), an efficient, lightweight, self-contained 173 digital package of an ad-hoc computational workflow that can be repeated in other environments. 174 The sciunit advances the concept of a research object, an aggregation of digital artifacts such as 175 code, data, scripts, and temporary experiment results associated with a research paper. The sciunit 176 provides an authoritative and far more complete record of a piece of research (Hai et al., 2017). 177 To create, maintain, and publish sciunits, the GeoTrust project has developed a software tool for 178 Linux environments called Sciunit-CLI.



One of the main advantages of a sciunit is its portability, which allows it to be easily run on

180 various computing environments. To accomplish this, Sciunit-CLI creates sciunits using Docker, 181 a widely used containerization software. Docker wraps a piece of software in a complete filesystem 182 that contains everything needed to run the software, including code, software runtime, system 183 tools, and system libraries in a Docker container (Owsiak et al., 2017). By leveraging Docker, 184 sciunits are packaged with all of their dependencies. In this way, any sciunit can be executed in 185 any environment in which both Docker and the Sciunit-CLI tool are installed regardless of other 186 computer configurations (Hai et al., 2017). This capability eliminates the burden of configuring a 187 running environment with all software dependencies, which can be complex, in order to reuse a 188 scientific workflow and reproduce its results.

189 In addition to ensuring the portability of sciunits, *Sciunit-CLI* automates some documentation 190 of the workflow packaged into a sciunit, including environment dependencies. The automation of 191 documenting all code, data, and environment dependencies alleviates what is typically a 192 burdensome task for scientists. Importantly, Sciunit-CLI also records retrospective provenance of 193 the workflow execution, which can be used for re-running containers (Pham et al., 2014). Because 194 it contains all of the required dependencies, the sciunit can be rerun, and the outputs reproduced, 195 using any other deployment configuration that also has Sciunit-CLI installed. When Sciunit-CLI 196 creates a sciunit, it includes three types of metadata: annotation metadata (populated by the user) 197 and provenance and version metadata (generated automatically by Sciunit-CLI).

Figure 2 shows an example user interaction with the *Sciunit-CLI* tool. The user runs the *create* command and provides a name, *"Model"* in the example. To create a container or a package within the sciunit, the user runs the *package* command and provides the workflow name (e.g., *workflow.sh"*) along with any inputs for the workflow (e.g., "data"). The user application can be written in any combination of programming languages, and many containers can be created within the same sciunit.

204 Sciunit-CLI works in a distributed fashion, similar to the Git version control philosophy, 205 such that the sciunits are stored only locally until explicitly shared with a remote repository. This 206 method of operation allows distributed collaborators to work offline on the same sciunit. When a 207 user is ready to share, they can publish the sciunit container to any remote web-repository using 208 the *publish* command. To use the publish command, the remote repository must be configured 209 within the Sciunit-CLI tool. This command line prompts first-time users to provide their remote 210 web-repository credentials. The remote repository reads the container's contents, stores the 211 container's digital artifacts in the appropriate remote sciunit, and associates the container with an 212 appropriate cloud execution server on which it can potentially re-execute. In our case, we used 213 HydroShare as the remote repository to publish our packaged sciunit in order to use HydroShare's 214 support for rich metadata and its ability to integrate third-party applications. The latter allowed us 215 to automate the cloud-based execution of this packaged sciunit.

1. > create Model
2. > annotate <i>Model author: Bakinam Essawy</i>
3. > exec workflow.sh 1 /data
4. > show
id: e1
sciunit: Model
command: ./workflow.sh Data
size: 1.18 GB
started: 2017-11-30 21:23
5. > push my_new_articlesetup hs
6. > repeat <i>e1</i>
7. > stop

216

217

Figure 2 A example user interaction with sciunit client.

- 218 **4. Methodology**
- 219 *4.1. System Design*

220 The combined GeoTrust and Hydroshare system is designed to connect a repeatable 221 computational workflow with its input data in a reproducible way. As such, both the computational 222 workflow and the data must be stored in a public repository that has extensive metadata support. 223 In addition to public accessibility of the data and the computational workflow, the execution of the 224 workflow must also be made publicly available to ensure reproducibility and transparency. The 225 technology for producing a repeatable computational workflow is provided by the GeoTrust 226 Sciunit-CLI, while the technology for public storage and metadata support is provided by 227 CUAHSI's HydroShare. Therefore, the main design aspect of this work consisted of designing a 228 publicly accessible method of execution in which sciunits built with the Sciunit-CLI and stored in 229 HydroShare could be executed using input data also stored in HydroShare. This was done in two 230 parts. The first was to build in functionality for publishing a sciunit through HydroShare. The 231 second part was to automate the execution of a sciunit from HydroShare using HydroShare web-232 apps.

233 4.1.1. Integrating Sciunit-CLI with HydroShare

Figure 3 shows an activity diagram of the system design for integrating GeoTrust *Sciunit-CLI* and HydroShare. To achieve this integration, *Sciunit-CLI* was extended to support sharing of sciunits through HydroShare. This functionality was implemented using HydroShare's REST API. To publish their sciunit on HydroShare, the user must provide valid HydroShare credentials. In the current implementation, the sciunit resource is published on HydroShare as a Composite Resource Type. Once the resource for the sciunit is created within HydroShare, the user can log into HydroShare and edit the metadata fields to more fully describe the sciunit resource.



Figure 3 Activity diagram showing creating a sciunit using GeoTrust and publishing that sciunit on
 HydroShare.

244 4.1.2. Automating sciunit execution through HydroShare

245 Integrating the cloud-based sciunit execution from the HydroShare user interface was done 246 using a HydroShare web-app. This web-app directs Hyper Text Transfer Protocol (HTTP) request 247 to a web server where sciunits can be executed. The web-app configured to run a particular sciunit 248 can be accessed through the "Open with" button on the landing page for the resource that stores 249 the raw input data. When the scientist clicks on the web-app button from the "Open with" menu, 250 an HTTP request containing the raw input data's resource ID will be sent to the server. With the 251 resource ID, the HydroShare REST API can be used to download the raw input data and the sciunit 252 to the server. The server can then execute the sciunit using the raw data, and return the output to 253 the scientist as a new HydroShare resource.

Figure 4 shows the steps done in a generic form for the integration between the two cyberinfrastructures, GeoTrust and HydroShare, to improve reproducibility by automating the 256 execution of the published sciunit. The figure shows how the "Open with" app will perform a 257 HTTP GET request to a remote server, which has already been configured with the Sciunit-CLI. 258 This automation process is done using a Python script created on the web server machine. This 259 Python script uses the flask library to act as a web server with NGINX (https://www.nginx.com/) 260 used as a proxy to forward all HTTP requests from the user browser to the Python script, which 261 can handle multiple users simultaneously. The Python script is using the POST request to create a 262 new resource and upload the output generated from running the sciunit on this resource. 263 Simultaneously, a webserver is running on the remote machine, which handles the HTTP request 264 and automatically executes a Python script. This script uses the HydroShare user authentication to 265 download the input data from the resource and downloads the Composite resource that includes 266 the sciunit container. Once both resources are downloaded, the resources are unzipped and moved 267 to the working directory for the analysis. The Sciunit-CLI executes the downloaded sciunit 268 package. After the sciunit is executed, a new resource is created in HydroShare and the output 269 from the Sciunit-CLI execution is uploaded into this new resource. A new collection resource is 270 also created on HydroShare to group all resources that were included during this execution. In this 271 paper we used HydroShare API. Our Python script uses the Python Client Library for the REST 272 API (http://hs-restclient.readthedocs.io/en/latest/).



274 Figure 4 The generic implementation for automating the execution of the published sciunit from 275 the HydroShare web-app

273

276 4.2. Use Case Application

277 A use case application was designed to demonstrate the integration of GeoTrust Sciunit-CLI 278 and HydroShare. This integration allows GeoTrust to package and publish a sciunit through 279 HydroShare, after which HydroShare automates the execution of this sciunit. Execution of the 280 packaged sciunit through HydroShare was demonstrated using EC2 instances from Amazon Web 281 Services (AWS). A Linux-based, micro-sized machine (t2) was used for prototyping and 282 demonstration purposes; this machine had 1 Gb of memory, 1 vCPU, 32 Gb of Solid State Drive 283 (SSD)-based local instance storage, and a 64-bit platform ("Amazon EC2 Instances," 2015). This 284 use case consisted of a workflow used for preprocessing model input data, running a computational

model, and handling the model outputs. The computational model used for the use case wasMODFLOW-NWT.

287 4.2.1. MODFLOW-NWT Use Case

288 MODFLOW-NWT is a standalone version of MODFLOW, a commonly used groundwater 289 model (Niswonger et al., 2011). The concept of "packages" is key to the modularity of the different 290 versions of MODFLOW (including MODFLOW-NWT); packages are input files that define some 291 individual component of the groundwater-flow conceptual model or specify the solution method 292 used for the flow equation that is collectively formulated from the individual components. For 293 example, the basic (BAS) and discretization (DIS) packages define the spatial and temporal 294 framework of the model, including the grid dimensions and the location of active and inactive grid 295 cells, while the recharge (RCH) package defines the spatial-distribution and rate of recharge to the 296 water-table. For our use case using MODFLOW-NWT, the Newton-Raphson (NWT) package 297 defines the variables required to implement the Newton-Raphson solution method.

298 For this study, MODFLOW-NWT was used to simulate the shallow groundwater flow in the 299 James River watershed upstream of Richmond, VA, USA. The model includes recharge to the 300 water table, subsurface flow through the saturated zone, and base-flow discharge to surface water 301 bodies including the James, Rivanna, and Hardware Rivers and several smaller-order streams. 302 Depth-integrated effective transmissivity was assumed to be constant throughout the active model 303 area and spatially-distributed recharge was derived from the national recharge dataset developed 304 by Reitz et al. (2017). Base-flow discharge was simulated using the MODFLOW drain (DRN) 305 package with all drain elevations (i.e., the water-table elevation required to discharge base-flow to 306 a receiving stream) extracted from the National Elevation Dataset. The model runs to completion 307 and is unconstrained by calibration; as such it is to be only used as an example for the workflow

308 processes described in this paper (i.e., no hydrologic or management conclusions were drawn from 309 the results of the model). This workflow could be extended to include calibration (Figure 1). For 310 example, a HydroShare resource for a parameter estimation program such as PEST (Doherty and 311 Hunt, 2010) could be created and included in the sciunit container. Similarly, the pre-processing 312 script could include data retrieval from web services such as the USGS water services API 313 (<u>https://waterservices.usgs.gov/</u>) and the automated generation of PEST input files.

The FloPy library was used to create the MODFLOW-NWT model from raw input datasets (Bakker et al., 2016). FloPy is a library of Python modules that allows scripting of the various steps in MODFLOW model development, execution, and analysis. By combining FloPy with GeoTrust and HydroShare, the workflow used to create and execute MODFLOW model (e.g., the steps shown in Figure 1) can be stored within a reproducible container with descriptive metadata in HydroShare.

320 **5. Results**

321 5.1. System Implementation

322 The system was implemented using the following steps. First, the script downloads raw input 323 data and the sciunit resources from HydroShare. Second, the script will unzip both the data and 324 sciunit, pass the data to the sciunit as an argument (this is how the sciunit accepts the input data), 325 and then run the sciunit with the downloaded data. Last, after the execution is completed, the 326 Python script will upload the results to HydroShare by using a POST request to create two new 327 resources: one for the sciunit output, which has the MODFLOW-NWT Model Instance Resource 328 type, and the other the collection resource that will include all the resources used within the study. 329 The script then returns the command status (including any errors) to the user.

330 5.2. Use Case Results

A digital workflow (bash script) was packaged into a sciunit using the *Sciunit-CLI* tool. The digital workflow runs a Python script to prepare the MODFLOW-NWT input data files and then executes a single run of the model. Figure 5 shows the component of the packaged digital workflow.

#!/bin/bash
cp -a /home/\$1/\$1/data/contents/. /home/Data/
(cd /home/; python build_modflow.py)
(cd /home/MODFLOW; ./mfnwt *.nam)

335

336

Figure 5 component of the packaged digital workflow.

337 Figure 6 outlines the first steps taken in the process to start and create a new sciunit through 338 the GeoTrust Sciunit-CLI tool for the example workflow while Figure 7 shows the execution and 339 packaging of the digital workflow into a sciunit package. This package command traces all 340 dependencies for the workflow and includes them in a single Docker file. Figure 8 shows how the 341 publish command is used to publish a sciunit package on HydroShare. If this is the user's first time 342 connecting to HydroShare, Sciunit-CLI will ask for HydroShare user credentials, otherwise the 343 credentials stored will be used. Once the package is published, metadata can be provided by the 344 user via the HydroShare Graphical User Interface (GUI). Future implementations of the Sciunit-345 *CLI* may expand this functionality by automatically populating more detailed metadata for 346 describing resources.

> ubuntu@ip-172-31-25-113:~/test\$sciunit create Model Opened empty sciunit at /home/ubuntu/sciunit/Model

347

348 **Figure 6** The creation of a new sciunit through the GeoTrust *Sciunit-CLI* tool for the use case

ubuntu@ip-172-31-25-113:~/test\$sciunit exec ./workflow.sh Data
Rasterizing shapefile: Data/James_Rivanna_5070.shp
Writing output raster to: Framework/James_Rivanna_IBOUND.tif
0102030405060708090100 - done.
Executinggdalwarppath:gdalwarp
Clipping the raster to the model domain.

349

350

Figure 7 Execution of the use case workflow through sciunit to create a package

ubuntu@ip-172-31-25-113:~/test\$ sciunit push my_new_article --setup hs Logged in as "Essawy, bakinam <btaessawy@gmail.com>" Title for the new article: Model my_new_article: 596MB [00:31, 18.8MB/s] ubuntu@ip-172-31-25-113:~/test\$

351 352

Figure 8 Publishing the use case sciunit to HydroShare

The newly created resource on HydroShare is a Composite Resource Type. This resource type allows the resource to include multiple files without file format limitations and with metadata associated at a file level within the resource. The Composite resource contains two files. The first is the provenance metadata file created while packaging the workflow; this metadata file contains information concerning the creation and version history of the managed data. The second file is the zipped package for the sciunit itself.

359 Once the sciunit is available as a HydroShare resource, HydroShare's integration with 360 third-party web apps is used to execute the sciunit. In order to store data and make it accessible to 361 be used as the input required by the sciunit, we made a new model instance-type resource titled 362 "ModflowNwtRawData" (Essawy, 2018b). We also created a web-app resource titled "GeoTrust" 363 (Essawy, 2018a). This web-app pointed to the AWS-EC2 instance where the Sciunit-CLI tool and 364 our Python script were installed. The connection between the HydroShare resource and the web 365 server was made by providing the web server's URL as the "App-launching URL Pattern" 366 metadata term in the resource. The GeoTrust web-app resource is linked to the 367 ModflowNwtRawData resource by the SupportedResourceType metadata property. This metadata 368 property was set to include the Composite Resource Type, which allowed the web-app to appear 369 in a drop-down list in the "Open with" menu on the ModflowNwtRawData resource landing page. 370 Figure 9 shows the Model Instance Resource type that includes the raw data, and the web apps 371 linked to this resource type to automate the sciunit execution. When the GeoTrust web-app on this 372 page is selected, the HTTP request is sent to server and the workflow is executed. The output is 373 written back to HydroShare as a new resource with the MODFLOW Model Instance Resource 374 type. This resource type is used because the resource can be executed by a MODFLOW model 375 program and it allows for adding extended metadata specific to MODFLOW (Morsy et al., 2017).



Figure 9 The raw data within the Model Instance Resource type, and the web apps linked to this
resource type to automate the sciunit execution.

Figure 10 presents the activity diagram for the steps that occur when the "Open with" button is clicked and the "GeoTrust" app is selected on the ModflowNwtRawData resource landing page. The "GeoTrust" app will perform an HTTP GET request to the AWS-EC2 machine, which has already been configured with the *Sciunit-CLI*. The webserver running on the AWS-EC2 machine handles the HTTP request and automatically executes a Python script. The script uses the 384 HydroShare user authentication to download both the raw data of the ModflowNwtRawData 385 resource and the sciunit container included within the ModflowNwtSciunit resource (Essawy, 386 2018c). Once the ModflowNwtSciunit and the ModflowNwtRawData resources are downloaded, 387 the script unzips the resources and moves them to the working directory for the analysis. The 388 Sciunit-CLI tool executes the downloaded sciunit package, which pre-processes the raw input data 389 for the model and executes the MODFLOW-NWT model. After the model is executed, a new 390 resource is created in HydroShare with the MODFLOW Model Instance Resource type named 391 ModflowNwtSciunitOutput (Essawy, 2018d) and the output from the Sciunit-CLI execution is 392 uploaded into this new resource. A new collection resource is also created on HydroShare to group 393 all the resources: the ModflowNwtRawData generic Model Instance Resource (the resource type 394 is a generic model instance because the data uploaded have no specific metadata or format that 395 could be tied to a specific resource type), the web-app GeoTrust resource, the ModflowNwtSciunit, 396 MODFLOW Model Instance Resource, the ModflowNwtSciunit Composite resource, and the 397 ModflowNwtSciunitOutput resource that includes the output resulting from executing the sciunit 398 package.



401 Figure 10 Activity diagram showing the steps for the online execution of the sciunit through
 402 HydroShare.

400

403 Figure 11 shows HydroShare user "My Resources page" after using the "Open with" action 404 button on the GeoTrust web-app on the ModflowNwtRawData resource for the online execution. 405 Two created. The first resource in the workflow the new resources is are 406 ModflowNwtSciunitOutput resource, which includes the input files for the MODFLOW-NWT 407 model program that are prepared through the preprocessing script and the output from the model 408 run. This resource is given the MODFLOW Model Instance Resource type, because the resource 409 has the inputs that are required by the MODFLOW-NWT model. This resource type allows for 410 extended metadata specific to a MODFLOW model instance. The second resource created is the

- 411 ModflowNwtCollection resource (Essawy, 2018e), which includes all the resources used in the
- 412 online execution for the MODFLOW-NWT. This provides a grouping of resources used for an
- 413 analysis and allows the user to share or download this collection of resources more easily.

HYDROSHARE	MY RESOURCES	DISCOVER	COLLABORATE	APPS HELP	ABOUT		
My Resource	S						
+ Create new	۵ 🖈 🌾	Ī		Q, Search			•
Q Filter		Туре	Title		🔷 First Author 🗍	Date Created 🚽	Last modified
 Owned by me 40 Editable by me 41 	• ★ 🗞	6	ModflowNw	rtSciunitOutput	bakinam Essawy	06/15/2017, 2:15 p.m.	06/27/2017, 6:17 p.m.
Viewable by 41 me	= ★ 🔖	Г А 🖻	ModflowNw	tCollection	bakinam Essawy	06/14/2017, 2:18 p.m.	06/20/2017, 2:59 p.m.
S Labels	• ★ 🗞	号 A 🖻	ModflowNw	rtRawData	bakinam Essawy	04/25/2017, 5:15 p.m.	06/27/2017, 6:14 p.m.
No labels found.							

- 415 **Figure 11** HydroShare user My Resources page after using the GeoTrust web app for the online
- 416 execution.

417 Figure 12 shows the output files within ModflowNwtSciunitOutput resource as viewed on 418 this resource's HydroShare landing page. The resource contains the output generated from running 419 the sciunit that prepares the model input for MODFLOW-NWT and the output from running the 420 MODFLOW-NWT model program itself. The MODFLOW Model Instance Resource type 421 includes extended metadata terms specific for MODFLOW. In this use case the model has eight 422 packages. In addition to the packages already described, this model instance includes: the output 423 control (OC) package, which specifies how the model output is written; the upstream-weighting 424 (UPW) groundwater flow package, which describes the system properties (e.g., 425 transmissivity/conductivity); and the one output listing file (LIST), which contains all the 426 information about the current run (e.g., stress period, time step and the number of active and 427 inactive cells, the recharge, drains, and any errors). The name file (NAM) specifies the name of 428 the input and output files for the model instance.

429



430

431 **Figure 12** The output files within the ModflowNwtSciunitOutput resource landing page in

432

HydroShare.

433 Additional metadata associated with the MODFLOW output resource is divided into four 434 categories: 1) Authorship, 2) Related resources, 3) Resource Specific, and 4) Web Apps. Figure 435 13 shows the "Related Resources" metadata. Here all resources linked to the MODFLOW output 436 resource through formal relationships are listed. In this case, the MODFLOW output resource is 437 linked to the ModflowNwtRawData resource through the "Derived From" relationship and to the 438 MODFLOW-NWT resource through the "isExecutedBy" relationship. Figure 14 shows the 439 "Resource Specific" metadata. These are non-null metadata terms that apply only to the 440 MODFLOW Model Instances' such as grid attributes, solver, and boundary condition package 441 choices. Additional metadata terms not previously populated by the user can be populated later 442 within the edit mode and will appear in this section once populated.



443

444 Figure 13 The ModflowNwtSciunitOutput Related Resources metadata tracking the resource's

445 provenance within HydroShare.

1 Authorship	📄 Rela	ted Resources	💼 Resources Specif	ic 🖌 Web Apps	
Model Output					
Includes output files? Executed By	Yes				
Name Version Resource URI	MODFLOW-N v.1.1.2 https://www.h	WT ydroshare.org/resour	rce/ace3231be6b64ee6a02ddd8e	e6dfa3d5d	
Study Area					
Total length in meters Total width in meters	300 300				
Number of layers Type of rows Number of rows	1 Regular 439				
Number of columns	596				
Type Length of stress period(s)	Steady 1				
Groundwater Flow Flow package Flow parameter Boundary Condition	UPW	Hydraulic Conducti	vity		
Specified flux boundary pack Head-dependent flux bounda Model Calibration	age(s) ary package(s)	rch, dis, bas drn			
Observation process package	2	obs			
Model parameter(s) Model solver		Hydraulic Conductiv	vity		
Output control package		OC			

446

447 **Figure 14** ModflowNwtSciunitOutput specific metadata capturing key MODFLOW model

448

properties.

449 Figure 15 shows details for the resulting ModflowNwtCollection resource as viewed on 450 this resource's landing page. The collection resource contains four sub-resources: 1) the 451 ModflowNwtRawData resource with the raw input data ready to be prepared for the MODFLOW-452 NWT model engine; 2) the ModflowNwtSciunit resource with the sciunit pre-processing MODFLOW-NWT 453 workflow, which also includes running the model; 3) the 454 ModflowNwtSciunitOutput resource, which stores the output generated from running the sciunit 455 workflow; and 4) the GeoTrust web app used to perform the online model execution using AWS-456 EC2. By organizing all these resources into a single collection, it is possible to have one landing 457 page where users can, referring back to the stated goals in the introduction of this paper, view, 458 obtain, and execute (1) raw initial datasets, (2) data preprocessing scripts used to clean and

- 459 organize the data, (3) model inputs, (4) model results, and (5) the specific model code along with
- 460 of all its dependencies used for a computational analysis.

	wNwtCo	ollection		Оре	en with -	
uthors: wners: esource type: reated: ast updated:	bakinam Essaw bakinam Essaw Collection Reso June 14, 2017, June 20, 2017	// ource 2:18 p.m. 2:59 n.m. by bakinam Essawy	& + 4	5 G 🗸	*	
bstract						
nis resource in rovides a local (resources mo	cludes all the reso grouping of resou re easily.	ources that were used in the online ex urces used for an analysis and allows t	xecution for the Mo the user to share o	odflow-NWT. r download th	This is collection	
(modflow sci	unit Modflow	v-NWT				
low to ci	te					Sollection Resource
ssawy, b. (201	7). ModflowNwt	Collection, HydroShare,	7242-717		🖪 Сору	ModflowNwtCollection
Public Dis	us: scoverable Pr	ivate				ModflowNwtSciuintOuput Model Instance ModflowNwtRawData
A You are the	e owner of this re	source.				Composite ModflowNwtSciunit
	on Conten	ts				Key Resource Type
Collectio	¢	Туре	▲ Owners ♦	Sharing Status	My Permission	Resource Title
Collectic		CompositeResource	bakinam Essawy	Public & Shareable	Owner]•
Collectic Title ModflowNw	tSciunit		bakinam Essawy	Private & Shareable	Owner]•]
Collectic Title ModflowNw	tSciunit tRawData	ModelInstanceResource			Owner	•
Collectic Title ModflowNw ModflowNw	tSciunit tRawData tSciunitOutput	ModelInstanceResource MODFLOWModelInstanceResour	ce Essawy	Public & Shareable		-
Collectic Title ModflowNw ModflowNw GeoTrust	tSciunit tRawData tSciunitOutput	ModelInstanceResource MODFLOWModelInstanceResour ToolResource	rce bakinam Essawy bakinam Essawy	Public & Shareable Private & Shareable	Owner]•

Figure 15 The collection resource that includes all resources used within the study.

463 **6.** Discussion and Conclusions

464 In this paper, we demonstrated how HydroShare and GeoTrust can be integrated to easily and 465 efficiently package, share, and publish model workflows. MODLFOW-NWT was used as an 466 example application to demonstrate the functionality provided by these cyberinfrastructures for 467 creating open, reusable data analysis and cloud-based model execution services. The approach 468 showed how containers built using GeoTrust tools can be shared as HydroShare resources. A 469 cloud-based service was created to automatically retrieve raw input data from HydroShare, execute 470 a sciunit container that both prepares and runs a MODFLOW-NWT model, and share the results 471 on HydroShare using a MODFLOW Model Instance Resource type. All the resources are 472 aggregated in HydroShare into one collection resource with domain-specific metadata.

473 The integration of scientific cyberinfrastructures such as the HydroShare and GeoTrust 474 projects can improve reproducibility in computational hydrology. New MODFLOW models can 475 be directly built from unprocessed input data (e.g., land-surface DEMs or stream-network 476 shapefiles) by running a sciunit container that includes automated data preparation steps 477 implemented using the FloPy Python package. The container is run online using AWS resources 478 initiated directly through the HydroShare user interface. A particular advantage of this approach 479 is that the GeoTrust Sciunit-CLI tool provides scientists a method for efficiently creating containers 480 for script-driven modeling workflows. Thus, the general approach demonstrated here for the 481 MODFLOW-NWT use case could be applied for any workflow that can be automated and that is compatible with Docker requirements. For example, in prior work we have constructed pre- and 482 483 post-processing workflows for the Variable Infiltration Capacity (VIC) hydrologic model (Liang 484 et al., 1996) that could directly benefit from this method for packaging, sharing, and publishing 485 resources (Billah et al., 2016; Essawy et al., 2016). These containers are efficient, lightweight,

486 self-contained packages of computational experiments that can be repeated or reproduced487 regardless of deployment configurations.

488 In addition to integration with HydroShare for storing and publishing a sciunit, cloud resources 489 were used to execute sciunits directly through the HydroShare user interface. While only AWS 490 was presented, we evaluated as part of this work three different cloud computing services: 491 EarthCube Integration and Testing Environment (ECITE), CyVerse, and Amazon Web Services 492 (AWS). ECITE and CyVerse are funded by NSF and both are under active development. One main advantage for using ECITE or CyVerse is that they are free of charge for scientific studies. AWS, 493 494 though not free, does offer a competitive grant program for researchers. From our experience, the 495 AWS platform made the process of obtaining computer resources the simplest when compared to 496 ECITE and CyVerse. The AWS user simply logs in to the console, selects the type of the machine 497 needed, and launches it. When using ECITE, we had to contact the developer and ask for an 498 instance with the required specifications and a short paragraph summarizing the project we are 499 working on to justify the allocation of compute resources. We also needed to contact the developer 500 each time we wanted to open a port (e.g., port 22 to SSH or port 80 for HTTP). The service did 501 not support Elastic IPs like AWS, so each time we restarted an instance and wanted to use SSH to 502 access to the machine, we needed to report the IP address used to access the machine to the 503 developer to add this address to the security rules. CyVerse is a more mature service, but allows 504 each user only a certain allocation of computational time. Once the user exceeds this allocation the 505 instance is suspended and the user needs to request more time from the administrators. This feature 506 was problematic for our use case of a continually available cloud-based resource for online model 507 execution. For these reasons, we used AWS-EC2 for much of the testing work described in this

paper, but ECITE and CyVerse are in active development and will likely be good options for thisuse case in the future.

510 While this approach shows great promise, it is not without limitations: (1) the *Sciunit-CLI* tool 511 must be installed in order to re-execute a sciunit container and (2) HydroShare lacks methods for 512 uniquely identifying and managing web-app resources that will be needed as the number of these 513 resources continues to increase. Regarding the latter limitation, without a more organized structure, 514 naming conflicts could cause confusion when using the "Open with" button over which app is to be requested. Also, this work does not fully explore computational challenges associated with the 515 516 proposed methodology. Using cloud services like AWS provides the opportunity for scalability as 517 more users are added. For example, this solution used small EC2 instances for prototyping. Future 518 work could explore AWS EC2 Container Service (ECS) as an alternative for a more scalable 519 solution to support multiple concurrent users. Data movement between HydroShare and AWS is 520 another potential issue as data volumes increase, which is not uncommon for hydrologic modeling. 521 HydroShare is built on iRODS (Integrated Rule-Oriented Data System), which includes the ability 522 to interface with AWS S3 storage resources. Future work could explore using this functionality to 523 automate the movement of large files between HydroShare and AWS to support computation 524 within AWS and still maintain access through the HydroShare user interface. iRODS is 525 specifically designed to handle such data federation needs and should provide a robust solution for 526 managing the large data flows common in hydrologic modeling. Lastly, future work should 527 explore scaling of the general approach presented here to use cases in which multiple sciunits are 528 available for execution within a remote, cloud-based resource. In this case, a user could select from 529 available sciunits to process input data stored with HydroShare, making for a potentially very

530	powerful general appr	roach applicable	e to man	y different	modeling	and	analysis	use	cases	that
531	require remote data pro	ocessing.								

532 7. Acknowledgements and Disclaimer

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- for descriptive purposes only and does not imply endorsement by the U.S. Government.

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